

**Topic:** Live Webinar - Sarbanes-Oxley Risk Assessment for Pharma/Bio/Life Science Industries

**Recording date:** Tuesday, July 18, 2006 10:30 am  
Pacific Daylight Time (GMT -07:00, San Francisco)

**Panelist Information:** Bob Benoit is President and Director of SOX Research at Lord & Benoit, LLC.

**Duration:** 53 mins

**Description:** Sarbanes-Oxley Risk Assessment includes COSO, PCAOB Auditing Standard #2 and SEC/PCAOB Q&A's. Recent PCAOB/SEC Internal Control Roundtables also reiterate the need for management and outside auditors to take a risk based, top down approach toward complying with SOX Section 404.

But what is there secret to effective and affordable compliance? The answer is 'working backwards'! Using database tools and web based technologies participants will learn from historical Section 404 results of peer company internal control and accounting deficiencies. This concept provides a uniquely effective road map to documenting and assessing risks at Pharma/Bio/Life Science companies.

Areas Covered in the seminar:

- What is the SOX regulatory environment over risk assessment?
- What is the intent of risk assessment?
- Focus on materiality
- What advantage to MicroCap companies have?
- What risks have companies in Pharma/Bio/Life Sciences peer groups experienced?
- How do restatements affect risk?

Sarbanes-Oxley Article's by Bob Benoit:

- Lord & Benoit Report:404 Bridges the Sarbanes-Oxley Disclosure Control Gap
- Lord & Benoit Report: Do the Benefits of 404 Exceed the Cost?

Who Will Benefit:

- CFOs, Controllers, CIOs, CCOs
- Management
- Audit Committee members
- Consultants
- Software providers
- Outside auditing firms
- Legal professionals

Instructor Profile

Bob Benoit is President and Director of SOX Research at Lord & Benoit, LLC, a national Sarbanes-Oxley Research and Compliance firm [www.Section404.org](http://www.Section404.org). Bob has been teaching Compliance with Sarbanes-Oxley Section 404 throughout the U.S. through the State CPA Societies. He has been on Massachusetts AICPA Peer Review Acceptance Board for ten

years. Bob's research has been quoted by three of the Big 4 CPA firms, PCAOB, SEC, SEC Staff, SEC Advisory Committee and AICPA-CPCAF. His research has been featured in the Wall Street Journal, FEI, CFO.com, Big4.com and Sarbanes-Oxley Compliance Journal to name a few. Colleges, legal journals, CPA and other compliance firms have also referenced his work.