

# MetricStream

Governance, Risk, Compliance and Quality Management Solutions

Live Webinar

## SOX Essentials for Small Public Companies

**Sarbanes Oxley Compliance** is wreaking havoc on small business budgets. The overwhelming costs of SOX make it difficult for small companies to compete in a global marketplace. The SEC estimates that public companies are spending as much as \$1.2 billion collectively per year to comply. As a result of those costs, smaller companies are seeing their profits shrink, which damages their stock price and puts them at risk.

This webinar will look at reviewing the challenges to small public companies due to the requirements of SOX compliance. It also discusses the best practices which allow SOX compliance in a cost effective and efficient manner.

### What will be covered?

- Current regulatory environment
- Components of a Sarbanes-Oxley Section 404 management assessment
- Accounting and disclosure controls
- Treasury
- Competency and training of accounting personnel
- Control environment
- Design of controls or lack of effective compensating controls
- Financial closing process
- Inadequate account reconciliation
- Information Technology on Sarbanes-Oxley Section 404 compliance
- Components of a SOX IT assessment
- Effective remediation plans and follow through

The webinar will also cover the features and benefits of the cost effective MetricStream solution for SOX implementation. Using MetricStream SOX solution, companies can design, assess and improve internal controls under the COSO framework, monitor their compliance processes and provide evidence to external auditors. [Click here](#) for more information about our SOX compliance solutions.

### Who will benefit

This webinar will provide valuable assistance to Finance, Regulatory and IT staff of small public companies looking to learn more about the challenges and best practices of SOX compliance. These employees include:

- SOX analysts
- SOX consultants
- Internal auditors
- External auditors
- CPAs
- VP Finance
- CIOs
- Chief Compliance Officers
- Programmers and Analysts
- IT staff



Date & Time

**Tuesday  
28 August , 2007  
11:00 AM PDT**

**Register Now!**

For more information, please call (650) 620-3936 or email [webinar@metricstream.com](mailto:webinar@metricstream.com).

### Panelists:



#### **Bob Benoit**

President and Director of SOX Research, Lord & Benoit, LLC

Bob has been teaching Compliance with Sarbanes-Oxley Section 404 throughout the U.S. through the State CPA Societies. He has been on Massachusetts AICPA Peer Review Acceptance Board for ten years. Bob's research has been quoted by three of the Big 4 CPA firms. PCAOB. SEC. SEC Staff.

- CFOs
- IT consultants
- Controllers

## [Click here to register for the webinar](#)

If the above link does not work please copy and paste this link into the address bar at the top of your browser window:

[http://www.metricstream.com/webinars/webinar\\_28aug07.htm?channel=nl\\_SOX\\_webinar\\_28aug07](http://www.metricstream.com/webinars/webinar_28aug07.htm?channel=nl_SOX_webinar_28aug07)

Once you register, an email confirmation with login details and dial-in number will be sent to you.

We look forward to seeing you at the webinar.

If you have any questions or require assistance in registering, please contact the [event coordinator](#) or call us on 650-620-3936.

SEC Advisory Committee and AICPA-CPCAF.



**Charles Goldenberg**

Vice President of Governance,  
Risk & Compliance Solutions

Charlie brings more than 30 years of professional experience, including 25 years as a management consultant. He worked with a number of leading companies on Finance process and governance issues, and worked with several large multi-national corporations on inter-company transfer pricing issues.